

Stephen Keyes
4a Jericho Farm Close
Aigburth
LIVERPOOL
L17 5AW
United Kingdom



Tel: 0151 220 3887

16th March 2006

U.S. Application Number: 10/533,543/JC10

International Filing Date: 14th November 2002

**Applicant: Stephen Keyes** 

Title: [[Storage of Viscous Substances]] A Mechanical Wallpaper Machine for Improved Adhesive

**Mechanism** 

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING U.S. APPLICATION NUMBER 10/533,543/JC10 OF PCT/GB2002/005154

Dear Mr Smith

- 1. Thanks for your correspondence in respect of the above U.S. application under the Patent Cooperation Treaty. You have sent me Form PCT/DO/EO/923, 371 Formalities Notice instead of Form PCT/DO/EO/903. Am of the opinion that I have already remitted to the United States Patent and Trademark Office the preliminary examination fee under Chapter II of the Patent Cooperation Treaty as filed on the 26<sup>th</sup> May 2005. As for additional fee of \$200 for a search report I have not requested a search report under Chapter II of the Patent Cooperation Treaty. I have had a preliminary search report under Chapter I of the Patent Cooperation Treaty, which you have a set of results of for consideration towards the requested preliminary examination (ex parte reexamination) under Chapter II of the Patent Cooperation Treaty.
- 2. In response to request for additional claim fees of \$180 am of the opinion that there is no independent claims or multiple dependant claims. You say there is two independent claims and a dependant claim but have not given me a appropriate written explanation under the Patent Cooperation Treaty, as to which two claims in your opinion our independent claims and which claim is dependent. If you give me a appropriate written explanation as to your opinion to which two claims our independent and claim is dependant, I will then be able to move

forward as to my opnion about the established claims. With having a established International Search Report under Chapter I of the Patent Cooperation Treaty and having not remitted any additional claims since the international application was filed on the 14<sup>th</sup> November 2002. There should not be any additional claim fees to remit as to independent claims and dependant claims, because as already mentioned the claims have already been established by way of the established International Search Report on the 15<sup>th</sup> April 2003 under the Patent Cooperation Treaty. The established claims need to be rewritten in better form as to amendment, no independent claims, no dependant claims and no new subject matter.

- 3. There is a error on notice of new or revised projected publication date as to filing or 371 (c) date which should be detailed as 21/06/2001.
- 4. There is a error on filing receipt as to filing or 371 (c) date which should be detailed as 21/06/2001.
- 5. There is a error on filing receipt as to independent claims which should be detailed as independent claims or dependent claims 0.
- 6. There is a error on filing receipt as to domestic priority data as claimed by applicant which should be detailed as This application is a 371 of PCT/GB2002/005154 14/11/2002 and GB0114471.6 21/06/2001.
- 7. There is a missing part on filing receipt as to foreign applications which should be detailed as U.S.10/533,543/JC10 of PCT/GB2002/005154.
- 8. There is a missing part on filing receipt as to if required foreign filing license granted which should be detailed with a commencement date.
- 9. There is a error on 371 formalities letter as to the international application number which should be detailed as PCT/GB2002/005154.
- 10. There is a missing part on 371 formalities letter as to the priority date which should be detailed as 21/06/2001.
- 11. There is a error on 371 formalities letter as to a copy of the international application filed on 05/04/2005 which should be detailed as Copy of the International Application filed on 27/05/2004 as provided in Article 20.
- 12. There is a error on 371 formalities letter as to a copy of the international search report filed on 05/04/2005 which should be detailed as Copy of the International Search Report filed on 27/05/2004 as provided in Article 20.
- 13. There is a error on 371 formalities letter as to oath or declaration filed on 05/04/2005 which should be detailed as Declarations filed on the 05/05/2005.
- 14. There is a error on 371 formalities letter as to U.S. basic national fees filed on 05/04/2005 which should be detailed as U.S. Basic National Fees filed on 05/05/2005 and 26/05/2005.

Yours Sincerely

S. Kenos

Stephen Keyes

Encl.

,

•



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virgenia 22313-1450 www.usplo.gov

APPLICATION NUMBER FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./ITILE

10/533,543

05/04/2005

Stephen Keyes

**CONFIRMATION NO. 2404** 

OC000000017875202\*

Stephen Keyes 4a Jericho Farm Close Aigburth Liverpool L17 5AW United Kingdom, UNITED KINGDOM

Date Mailed: 01/19/2006

#### NOTICE OF NEW OR REVISED PROJECTED PUBLICATION DATE

The above-identified application has a new or revised projected publication date. The current projected publication date for this application is 04/27/2006. If this is a new projected publication date (there was no previous projected publication date), the application has been cleared by Licensing & Review or a secrecy order has been rescinded and the application is now in the publication queue.

If this is a revised projected publication date (one that is different from a previously communicated projected publication date), the publication date has been revised due to processing delays in the USPTO or the abandonment and subsequent revival of an application. The application is anticipated to be published on a date that is more than six weeks different from the originally-projected publication date.

More detailed publication information is available through the private side of Patent Application Information Retrieval (PAIR) System. The direct link to access PAIR is currently http://pair.uspto.gov. Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at (703) 305-3028.

Questions relating to this Notice should be directed to the Office of Patent Publication at (703) 305-8283.

PART 1 - ATTORNEY/APPLICANT COPY



# <u>JNITED STATES PATENT AND TRADEMARK OFFICE</u>

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Sex 1450 Alexandria, Vigniia 22313-1450 www.unpto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY, DOCKET NO.

Stephen Keyes 10/533,543

INTERNATIONAL APPLICATION NO.

PCT/GB02/05154

I.A. FILING DATE

PRIORITY DATE

11/14/2002

**CONFIRMATION NO. 2404** 371 FORMALITIES LETTER 

OC000000017851245\*

Stephen Keyes 4a Jericho Farm Close Aigburth Liverpool L17 5AW United Kingdom, UNITED KINGDOM

Date Mailed: 01/23/2006

## Notification of Insufficient Fees (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 05/04/2005
- Copy of the International Search Report filed on 05/04/2005
- Oath or Declaration filed on 05/04/2005
- U.S. Basic National Fees filed on 05/04/2005

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

 Additional claim fees of \$180 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$480 for a Small Entity:

- The application search fee has not been paid. Applicant must submit \$200 to complete the search fee. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.
- The application examination fee has not been paid. Applicant must submit \$100 to complete the examination fee for a small entity in compliance with 37 CFR 1.27. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.
- Total additional claim fee(s) for this application is \$ 180

12 MAR 2006

\$180 for multiple dependent claim surchare.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

#### FREDERICK SMITH

Telephone: (703) 308-9140 EXT 210

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/533,543	PCT/GB02/05154	

FORM PCT/DO/EO/923 (371 Formalities Notice)



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

 APPL NO.
 FILING OR 371 (c) DATE
 ART UNIT
 FIL FEE REC'D
 ATTY.DOCKET NO
 DRAWINGS
 TOT CLMS
 IND CLMS

 10/533.543
 05/04/2005
 150
 4
 6
 2

**CONFIRMATION NO. 2404** 

Stephen Keyes
4a Jericho Farm Close Aigburth,
Liverpool L17 5AW
United Kingdom,
UNITED KINGDOM

FILING RECEIPT

\*OC000000017851244\*

Date Mailed: 01/23/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Stephen Keyes, Liverpool, UNITED KINGDOM;

Power of Attorney: None

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/GB02/05154 11/14/2002

Foreign Applications

Projected Publication Date: 04/27/2006

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Storage of viscous substances

**Preliminary Class** 

# PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

## **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations

especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).